

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2782

Examiner: Not Yet Assigned

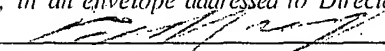
Serial No.: 09/484,479

Filed: January 18, 2000

In re Application of: Jerrold Hauck et al.

For: METHOD AND APPARATUS FOR BORDER NODE BEHAVIOR ON A  
FULL-DUPLEX BUS

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Washington, D.C. 20231 on 8/23/01, Signed 

Paul McCoy

INFORMATION DISCLOSURE STATEMENT

Director of Patents  
Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

## I

This statement is filed pursuant to:

( X ) 37 C.F.R. §1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. §1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. §1.113 or (2) a notice of allowance under 37 C.F.R. §1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. §1.17 (p) or a certification according to 37 C.F.R. §1.97(e).

( ) 37 C.F.R. §1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(d), and a statement according to 37 C.F.R. §1.97(e).

37 C.F.R. §1.97(e).

- ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of the statement.

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

( X ) No fee is due.

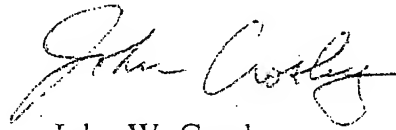
( ) The fee specified in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c), 37 C.F.R. § 1.97(d), or 37 C.F.R. §1.97(e) is enclosed, \$180.00.

In the event any fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 50-0612. A duplicate of this page is enclosed.

Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated:

*August 20, 2002*



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Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. ZAY-99-054		Serial No. 09/484,479	
Information Disclosure Statement by Applicant				Applicant: Jerrold Hauck et al.			
(Use several sheets if necessary)				Filed: January 18, 2000 Group: 2782			
<b>U.S. Patent Documents</b>							
Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	1	4,156,798	05/29/1979	Doelz	179	15 AL	08/29/1977
	2	4,194,113	03/18/1980	Fulks et al.	371	20	03/14/1978
	3	5,014,262	05/07/1991	Harshavardhar	370	16	01/02/1990
	4	5,274,631	12/28/1993	Bhardwaj	370	60	03/11/1991
	5	5,343,461	08/30/1994	Barton et al.	370	13	08/27/1991
	6	5,394,556	02/28/1995	Oprescu	395	800	12/21/1992
	7	5,452,330	09/19/1995	Goldstein	375	257	07/06/1992
	8	5,490,253	02/06/1996	Laha et al.	395	304	06/24/1993
	9	5,495,481	02/27/1996	Duckwall	370	85.2	09/30/1994
	10	5,539,390	07/23/1996	Nagano et al.	340	825.07	01/27/1995
	11	5,541,670	07/30/1996	Hanai	348	705	05/23/1995
	12	5,568,641	10/22/1996	Nelson	395	700	01/18/1995
	13	5,621,659	04/15/1997	Matsumoto et al.	364	514 E	10/27/1994
	14	5,630,173	05/13/1997	Oprescu	395	860	12/21/1992
	15	5,684,715	11/04/1997	Palmer	365	514	06/07/1995
	16	5,701,476	12/23/1997	Fenger	395	652	12/23/1997
	17	5,701,492	12/23/1997	Wadsworth et al.	395	712	03/29/1996
	18	5,712,834	01/27/1998	Nagano et al.	369	19	02/07/1996
	19	5,719,862	02/17/1998	Lee et al.	370	355	05/14/1996
	20	5,784,648	07/21/1998	Duckwall	395	860	12/01/1995
	21	5,802,048	09/01/1998	Duckwall	370	389	08/01/1996
	22	5,802,057	09/01/1998	Duckwall et al.	370	408	12/01/1995
	23	5,805,073	09/08/1998	Nagano et al.	340	825.07	02/25/1993
	24	5,809,331	09/15/1998	Staats et al.	395	830	04/01/1996
	25	5,835,761	11/10/1998	Ishii et al.	395	653	07/17/1997
	26	5,867,730	02/02/1999	Leyda	395	830	07/31/1997
	27	5,875,301	02/23/1999	Duckwall et al.	395	200.51	07/08/1997
	28	5,938,764	08/17/1999	Klein	713	1	10/23/1996
	29	5,968,152	04/04/1997	Staats	710	104	04/04/1997
	30	5,970,052	10/19/1999	Lo et al.	370	241	09/19/1997
	31	5,987,605	11/16/1999	Hill et al.	713	2	02/28/1998
Examiner					Date Considered		
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.							

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Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	32	5,583,922	12/10/1996	Davis et al.	379	96	04/05/1995
	33	5,640,595	06/17/1997	Baughner et al.	395	830	06/29/1993
	34	6,032,202	02/29/2000	Lea et al.	710	8	01/06/1998
	35	6,038,625	03/14/2000	Ogino et al.	710	104	01/06/1998
	36	6,070,187	05/30/2000	Subramaniam et al.	709	220	03/26/1998
	37	6,073,206	06/06/2000	Piwonka et al.	711	102	04/30/1998
	38	6,122,248	09/19/2000	Murakoshi et al.	370	216	07/08/1999
	39	6,131,129	10/10/2000	Ludtke	710	5	07/01/1998
	40	6,133,938	10/17/2000	James	348	8	03/11/1999
	41	6,138,196	10/24/2000	Takayama et al.	710	105	10/07/1999
	42	6,141,702	10/31/2000	Ludtke et al.	710	5	07/01/1998
	43	6,141,767	10/31/2000	Hu et al.	714	1	04/03/1998
	44	6,157,972	12/05/2000	Newman et al.	710	100	11/20/1998
	45	6,160,769	12/12/2000	Zou	370	257	01/06/1998
	46	6,167,532	12/26/2000	Wisecup	713	300	02/05/1998
	47	6,173,327 B1	01/09/2001	De Borst et al.	709	231	07/11/1996
	48	6,192,189 B1	02/20/2001	Fujinami et al.	386	96	08/10/1998
	49	6,202,210 B1	03/13/2001	Ludtke	725	20	08/21/1998
	50	6,233,624 B1	05/15/2001	Hyder et al.	709	327	05/08/1997
	51	6,253,114 B1	06/26/2001	Takihara	700	83	06/03/1998
	52	6,253,255 B1	06/26/2001	Hyder et al.	709	321	05/08/1997
	53	6,260,063 B1	07/10/2001	Ludtke et al.	709	224	03/17/1998
	54	6,266,334 B1	07/24/2001	Duckwall	370	397	07/20/1998
	54	6,266,701 B1	07/24/2001	Sridhar et al.	709	232	07/02/1997
	56	6,282,597 B1	08/28/2001	Kawamura	710	105	10/19/1998
	57	6,308,222 B1	10/23/2001	Krueger et al.	709	247	11/30/1999
	58	6,311,228 B1	10/30/2001	Ray	709	301	08/06/1997
	59	6,345,315 B1	02/05/2002	Mishra	709	329	08/12/1998
	60	6,385,679 B1	05/07/2002	Duckwall et al.	710	119	08/28/1998
Examiner					Date Considered		
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Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. ZAY-99-054		Serial No. 09/848,479		
Information Disclosure Statement by Applicant				Applicant: Jerrold Hauck et al.				
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<b>U.S. Patent Documents</b>								
Init.		Document No.	Date	Name	Class	Subclass	Filing Date	
	61	5,583,922	12/10/1996	Davis et al.	379	93.09	04/05/1995	
	62	5,640,595	06/17/1997	Baughner et al.	395	830	06/29/1993	
	63	5,832,298	11/03/1998	Sanchez et al.	395	828	05/30/1995	
	64	6,247,083	06/12/2001	Hake et al.	710	107	01/05/1999	
	65	5,701,476	12/23/1997	Fenger	395	652	11/29/1994	
	66	5,832,298	11/03/1998	Sanchez et al.	395	828	05/30/1995	
	67	5,867,730	02/02/1999	Leyda	395	830	07/31/1997	
	68	6,247,083 B1	06/12/2001	Hake et al.	710	107	01/15/1999	
<b>Foreign Documents</b>								
							Translation	
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
<b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
	69	"IEEE Standard for a High Performance Serial Bus", IEEE Standard 1394-1995, Institute of Electrical and Electronics Engineers, Inc., August 30, 1996.						
	70	"IEEE Standard for a High Performance Serial Bus-Amendment 1", Institute of Electrical and Electronics Engineers, Inc., pp. 1-196, 2000 (no month).						
	71	"P1394b IEEE Draft Standard for a High Performance Serial Bus (High Speed Supplement), Institute of Electrical and Electronics Engineers, Inc., pp. 1-408, 2002 (no month).						
	72	"AV/C Digital Interface Command Set General Specification, Rev. 3.0", 1394 Trade Association, pp. 4-5, 20-34, April 15, 1998.						
	73	"Enhancements to the AV/C General Specification 3.0 Version 1.0FC1", 1394 Trade Association, pp. 4, 6-17, November 5, 1998.						
	74	"Fibre Channel-Methodologies for Jitter Specification", NCITS TR-25-1999, Jitter Working Group Technical Report, Rev. 10, pp. 1-96, June 9, 1999.						
Examiner					Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.								